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Global warming is causing a more pronounced dip in marine species richness around the equator

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Author Contributions

Chhaya Chaudhary developed the research question and conducted the data analysis and led writing of the manuscript. All other authors contributed to the study design, data analysis, methods, interpretation of results and writing of the paper.

This PDF file includes:

Main Text

Figures 1 to 3

Abstract

The latitudinal gradient in species richness, with more species in the tropics and richness declining with latitude, is widely known and has been assumed to be stable over recent centuries. We analysed data on 48,661 marine animal species since 1955, accounting for sampling variation, to assess whether the global latitudinal gradient in species richness is being impacted by climate change. We confirm recent studies that show a slight dip in species richness at the equator. Moreover, richness was sensitive to temperature, reaching a plateau or declining above a mean annual sea surface temperature of 20°C for most taxa. In response, since the 1970s species richness has declined at the equator relative to an increase at mid-latitudes, and shifted north in the northern hemisphere, particularly among pelagic species. This pattern is consistent with the hypothesis that climate change is impacting the latitudinal gradient in marine biodiversity at a global scale. The intensification of the dip in species richness at the equator, especially for pelagic species, suggests that it is already too warm there for some species to survive.

Significance Statement

We use distribution data on 48,661 species to show that marine biodiversity has been responding to climate warming at a global scale. We show that marine species richness levels off or declines above a mean annual sea surface temperature of 20°C. This results in a dip in species richness around the equator that has become more pronounced as the climate has warmed, especially for pelagic species. Previous studies have either only predicted such effects or have provided data at regional scales or for limited numbers of taxa.

Main Text

Introduction

The latitudinal gradient in species richness is a striking biogeographic pattern in both terrestrial and marine realms that is likely to reflect evolutionary history and current environmental conditions (1-4). It is strongly correlated with temperature (5-8) (**Table S1**) and may thus serve as a natural laboratory to study the impact of climate change (9). A unimodal latitudinal gradient in species richness peaking at the equator had been assumed to be the general pattern for most taxa (10-15). However, the majority of global studies have been limited to a specific taxonomic group, and multi-taxon studies have been regional, making generalisations difficult. Recently, in a review of 27 studies and a dataset of 65,000 species, Chaudhary et al. (10, 16) suggested that the distribution of marine diversity was bimodal, with a dip at the equator, and that all marine taxa followed this pattern, with the possible exception of planktonic radiolarians (17), which are found deeper in tropical waters (the so-called 'tropical submergence' (18)). Species distribution models

forced by Earth System Models predict that the leading (cool) edge of species' distributions will move away from the equator in the future (e.g., 19), which could further depress equatorial richness relative to mid latitudes. This begs the question: is climate change already altering the global latitudinal gradient in species richness? Here, we analyse the latitudinal pattern in species richness for a suite of taxonomic groups based on 48,661 marine species to assess whether there was a consistent dip in species richness at the Equator and what role ocean warming might play as a driver of changing latitudinal distribution of marine biodiversity.

Results

Bimodality in species richness with latitude

Estimates of asymptotic diversity for entire assemblages of marine organisms derived using Hill numbers (20) confirmed that the latitudinal gradient in species richness was bimodal for all individual taxonomic groups, as well as when these groups were pooled by habitat (Pelagic species or Benthic species) or all considered together (All Species) (**Figure 1**). The Generalised Additive Models (GAMs) explained 88-99% of the variation in Richness (**Table S2**). There was a clear symmetrical bimodal pattern for All Species, Benthic species and most of the taxonomic groups, except for Pelagic species, Reef-associated fish and Gastropods (**Figure 1**). All groups showed sharp declines in richness towards the poles.

Temperature and other potential predictors of species richness

Mean annual sea surface temperature (SST) consistently explained less variation in species richness across all groups than did latitude (61-89%; **Table S3**). Species richness declined or plateaued in waters $>20^{\circ}\text{C}$ for All, Pelagic, and Benthic species (**Figure 2**), as well as all individual taxonomic groups except Demersal and Reef-associated fish (**Figure 2**). As expected, taxa that exhibited a greater tendency toward decline in species richness at temperatures $>20^{\circ}\text{C}$ – such as Bathymersal fish, Bivalves, Gastropods and Benthic arthropods – generally showed the clearest dip in species richness near the equator (**Figures 1**).

Impact of ocean warming

To investigate the potential effect of ocean warming, we examined how the latitudinal gradient of species richness changed over three time periods: 1955–1974, 1975–1994, and 1995–2015 (**Figure 3**). There was 0.06°C warming from 1955–1974, 0.10°C warming from 1975–1994, and 0.08°C from 1995–2015 (**Fig. S1**). The GAMs explained $>72\%$ of the variation in All, Pelagic, and Benthic species in each time period (**Table S4**). From 1955–1974, the distribution of Pelagic species were weakly bimodal, with a larger peak in the northern hemisphere (**Figure 3B**), and Benthic species had a broad equatorial peak in biodiversity with no bimodality (**Figure 3C**). However, from 1975 the patterns changed markedly. For Pelagic species, richness declined at the equator and there was relatively higher richness in the subtropics over successive periods as the temperature warmed, resulting in a more pronounced trough in diversity around the equator. The equatorial dip not only intensified, but widened and shifted northward from 0° to 10°N (**Figure 3B**). For Benthic species, there was only a hint of a decline in richness at the equator from 1975, but richness clearly increased in the subtropics, again resulting in a more pronounced dip at the equator, consistent with warming (**Figure S1**). These distinct peaks in Benthic species in the subtropics did not previously exist (**Figure 3C**).

Changes in the shape of the latitudinal diversity gradient are most likely explained by the effect of warming causing the geographic range expansion of species and invasion into new latitudinal zones. This is especially clear in the northern hemisphere, where there was a rapid poleward shift in the biodiversity peak from 30°N in 1955–1974 to 45°N in 1995–2015, which is not as clear in the southern hemisphere (**Fig. S2**). The progressive poleward expansion of the leading (cool) edges of species' distributional ranges is evident through time, especially for species found predominantly in the northern hemisphere (**Fig. S2C**). For species found in both hemispheres, southern range edges initially located close to the equator, moved progressively south through time (**Fig. S2B**), although patterns were less clear for northern range edges (**Fig.**

S2A).

Discussion

The latitudinal gradient in species richness is perhaps the best known global biogeographic pattern and has been presumed to be stable over centuries. Using the largest available dataset (21) on global biodiversity, we show for the first time that the dip in the latitudinal pattern of marine species richness around the equator has deepened with global warming, as predicted (8, 19). Ocean warming is thus causing large-scale changes in the global latitudinal distribution of marine biodiversity. Despite less warming in the ocean than on land, marine species are shifting their distributions as fast or faster in response to warming than those on land (22, 23). It remains an open question whether the latitudinal gradient in species richness is also changing on land.

We also confirm bimodality in the latitudinal distribution pattern of marine species richness. While it has been suggested that the cause of the equatorial dip in species richness was undersampling in the tropics (24), we accounted for this here by using Hill numbers to estimate asymptotic diversity (see Methods). The application of this approach to the OBIS data seems robust in that the greater sampling in the northern hemisphere did not produce uniformly higher richness peaks (considering confidence envelopes, there were few clear differences in species richness peaks between northern and southern hemispheres, except for Pelagic species). A global analysis of fish species ranges that minimised the effect of sampling gaps found a similar bimodal pattern (25), as did other studies on tropical pelagic biodiversity (8), marine fish (25), amphipods (26), polychaetes (27), and a suite of fossil and living taxa (28). We found the equatorial dip in species richness when considering all taxa, including pelagic and benthic chordates, and benthic invertebrates (bivalves, gastropods and arthropods), despite variations in their ecology, methods used to sample them, ease of identification, and the amount of taxonomic attention each group has received.

The strong non-linear relationship between species richness and SST – with richness plateauing and sometimes declining above 20°C for most taxa – is a clear explanation for the deepening equatorial dip over time as the ocean has warmed. A similar pattern has been found in other studies on benthic and pelagic species, although these had restricted taxonomic and geographic coverage (7, 8, 25-30).

The greater latitudinal shift in species richness in the northern than southern hemisphere species is likely to be a consequence of the greater warming in that hemisphere (22). Further, in the northern hemisphere the greater latitudinal shift in the richness of pelagic species compared with benthic species post-1975 suggests that pelagic species respond more quickly to climate warming than benthic ones (23, 31). This may be because demersal and benthic species have access to thermal refugia in deeper water, as suggested by studies on demersal fish (32, 33). Alternatively, pelagic species might simply be more responsive to climate change by virtue of their greater motility.

The increasing equatorial dip and movement of the richness peaks toward the subtropics with climate change should not be surprising, given that it has been clearly observed in the fossil record in response to previous warming events. For example, during the the last Pleistocene interglacial, reef corals also exhibited an equatorial decline and shift towards the subtropics (34). Similarly, there was a sudden loss in equatorial diversity in the late Quaternary (8) and early Triassic (35) in response to warming. An abrupt loss of diversity at the tropics has also been predicted in future under different climatic scenarios (36). Our results, together with previous research, show that equatorial biodiversity is threatened by and is responding to climate change now. The decreasing relative richness at the equator since 1975, especially for pelagic species, suggests that the equator is already too hot for some species to survive and indicates that further low-latitude declines of species are likely with continued warming.

Materials and Methods

Species data

Data on the distribution of species used in our analyses were downloaded from Ocean Biodiversity Information System (OBIS) (2015, available on figshare <https://doi.org/10.17608/k6.auckland.12672884.v1>). These data, collected since 1920, included species that could be defined as benthic or pelagic based on a literature review, and had sufficient occurrences for global analysis based on their distribution. We further cleaned the data and removed low quality observations with high probability of errors. The final quality-controlled data used for analysis had 6,917,656 observations for 48,661 species, with 43,249 benthic species (4,386,802 records) and 5,412 pelagic species (2,530,854 records).

Taxa with most occurrence records were Chordata, Arthropoda, Cnidaria, Echinodermata and Mollusca. Each of these five taxonomic groups had observations for >3,000 species and >150,000 occurrences (**Table S5**). Species in these groups were defined as Benthic if they were exclusively benthic or had any benthic life stage (except 74 species of jellyfish, which were considered pelagic); and species were defined as Pelagic if they had no benthic life stage, according to FishBase (36), MolluscaBase (37), and the literature (38). Chordates comprised fish, tunicates, mammals, birds, and reptiles (Sauria, Squamata and Testudines).

Fish species were classified based on their depth distribution and functional groups in accordance with FishBase (36): demersal (near or on the seabed) and coral reef-associated fish between 0 m and 200 m depth; bathy-demersal fish below 200 m depth; and bathy-pelagic fish between 1000 m and 4000 m. There were insufficient records in other depth zones for separate analyses.

Benthic vertebrates included four fish groups: demersal; reef-associated; bathy-demersal; and benthic-pelagic. Benthic chordates included these vertebrates and benthic tunicates. Pelagic chordates included pelagic fish, tunicates, mammals, birds, cephalochordates and reptiles (Sauria, Squamata, and Testudines) and bathy-pelagic fish. Benthic invertebrates with sufficient geographic data for inclusion separately in the analysis were arthropods, bivalves, and gastropods. Thus, there were five groups of fish, three groups of invertebrates, two groups of chordates (benthic and pelagic), and collectively, thirteen benthic and pelagic taxonomic groups including All Species, Pelagic species and Benthic species.

To ensure a sufficient number of data points for robust statistical analysis amongst the ten taxonomic groups, we aggregated the data into 5° latitudinal bands, starting with a band centred at the equator. To analyse the change in latitudinal pattern in species richness over time, data for Benthic and Pelagic species were subdivided into three time periods, 1955–1974, 1975–1994, and 1995–2015 (data before 1955 were too scarce to include as a separate period). These periods were selected to ensure that there were sufficient data with global spatial coverage in each period (**Table S6, Fig. S3**) and so that the strong warming signal in ocean temperature was captured over the time range (**Fig. S1**). Since the 1980s, global warming has intensified, with the greatest increases in the North Atlantic (39).

Temperature data

We used sea surface temperature (SST) as our common index of warming for pelagic and benthic species to remain consistent for all groups analysed within the study and with the literature, and also because of the unavailability of observed sea bottom temperatures globally during the earlier part of our data range (to correlate with benthic species richness). There is a high correlation (0.84) between SST and seabed temperature (<http://gmed.auckland.ac.nz/layersd.html>). In addition to the poor temporal and spatial coverage of seabed temperatures, they seem to correlate less well with demersal fish responses to climate warming (40). SST is also the most common predictor used in studies of climate change ecology (23, 32). We thus used SST as a reasonable proxy of temperature for all fish and invertebrate species. We used monthly data from the Hadley Centre Global Sea Ice and Sea Surface Temperature (HadISST 1.1) database (41). We computed the (area-weighted) mean decadal SST for the 100-year period 1920-2019 (decade starting from 1920) in the *R* (42) package *raster*

(43). SST is also the most common predictor used in studies of climate change ecology (**Table S1**).

Geographical data

Higher coastal diversity has also been attributed to the presence of more biogenic habitats in the shallow waters of the continental shelves (40), although these are often also species in their own right. The presence of mangroves, seagrass, and coral reefs in tropical coastal regions creates greater habitat heterogeneity and higher productivity. In 7 of 29 studies reviewed here (**Table S1**), food availability and productivity have been reported the most common explanations for the latitudinal gradient in species richness after temperature. Thus, it is possible that latitudes with more continental shelf area would harbour more species than latitudes with less continental shelf area. Thus, we assessed the effect of ocean and shelf area on the latitudinal pattern in species richness. The ocean areas per 5° latitudinal band were calculated in *R* (42) using a 1:10,000,000 shapefile for global land area from Natural Earth (<https://www.natureearthdata.com/downloads/10m-physical-vectors/>) to define the global ocean, together with appropriate shapefiles for the continental shelf and oceanic slope from Blue Habitats (44). In each instance, shapefiles were rasterised (43) to 0.05° resolution before summing areas for ocean, slope and shelf, respectively, per 5° latitudinal band. These data were used to estimate proportion of oceanic shelf and the proportion of oceanic slope relative to the ocean area in each 5° latitudinal band.

Data analysis

(a) Sampling bias

Sampling bias can be taxonomic, methodological, geographic or temporal. To minimise taxonomic bias, we analysed only those records identified to species. Methodological bias can arise because different methods are used to sample species from different habitats and body sizes (45). Analyses across a wide range of taxa and habitats – as undertaken here – is more likely to subsume methodological biases. In terms of geographic biases, coastal areas and surface waters have been sampled more than the deep sea (45), and the frequency of sampling varies over time and location (46). Here, we accounted for such biases using the framework of Hill numbers to obtain asymptotic diversity estimates with which to infer true diversities of entire assemblages (20). To account for the different sampling effort in each latitudinal band and its effect on estimates of species richness, we used the Hill number of order $q = 0$ for presence data. This is based on the relative probability of species detection in any occurrence record (20, 47). We used the *R* package *iNEXT* (48) to extrapolate the expected number of species, using the incidence rates of species (20, 47). We further rounded extrapolations to the nearest whole number so that they were counts.

(b) Generalised Additive Modelling

Generalised Additive Modelling (GAM) was used to investigate the expected nonlinear relationship of the estimated number of species with environmental and geographical predictors using the package “mgcv” (49) in *R*. GAMs sum a series of smoothed functions of individual covariates and can thus capture non-linearity (50). Two models were developed: a model of species richness as a function of latitude and a model of species richness as a function of SST. We fitted the models with a Poisson and Negative binomial error structures and based on diagnostic plots of their residuals (improved homogeneity of variance and normality of residuals) and lower AIC we chose negative binomial (**Table S7**). After initial inspection of results, we set the basis dimension of the spline smoother (related to the flexibility and the estimated degrees of freedom of the smooth) to be nine for latitude and five for SST to ensure consistency across the different models for each response variable. However, using generalised cross validation to estimate the degree of smoothness for each model fit gives very similar results (see **Fig. S4-S6**). To adjust for other predictors that might be important for predicting marine species richness, we included the oceanic area and the shelf area as linear terms in the models with latitude. The slope area was highly correlated with the oceanic area (0.69) and added complexity to the

models considering the relatively few degrees of freedom ($n=35$), thus excluded from the models. Oceanic area was found to be not significant, but shelf area was in most of the models. We thus included shelf area in all models with latitude (**Table S2, S4**), but have only shown latitude in the main figures.

To compare potential range shifts among species in the three periods, we selected the species that were common among these periods (11,252 species). For each species and year, we calculated the 2.5th, 97.5th percentile and median latitude of available observations (assuming north is positive, so the 97.5th percentile is in the north and the 2.5th percentile is in the south). These were then aggregated to year, using the minimum, maximum and median values (of the 2.5th, 97.5th and 50th percentiles, respectively) – i.e., the extremes across years within each species for each period. Based on the number of observations in the northern and southern hemisphere per period, we classified “northern species” (>75% of observations in the north), “southern species” (>75% of observations in the south) or cosmopolitan (the remaining species). We then constructed density plots across species of extreme latitudes of observation. These represent the poleward-advancing “leading” (cool) range edge.

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Figures

Figure 1. The latitudinal distribution of species richness in marine taxa at the scale of 5° latitudinal bands based on GAMs (the effect of Latitude adjusting for shelf area) (**Table S2**): (A) All species; (B) Pelagic species; (C) Benthic species; (D-E) organisms in the pelagic environment; and (F-M) organisms living near, on or in the seabed. The shaded region in each graph shows the $\pm 95\%$ confidence envelope for the fit.

Figure 2. The relationship between species richness and sea surface temperature (SST) based on GAMs (**Table S3**): (A) All species; (B) Pelagic species; (C) Benthic species; (D-E) organisms in the pelagic environment; and (F-M) seabed associated organisms. The shaded region in each graph shows the $\pm 95\%$ confidence envelope for the fit.

Figure 3: Latitudinal distribution in species richness using GAMs (the effect of Latitude adjusting for shelf area in each 5° latitudinal band) (**Table S4**) in (A) All species, (B) Pelagic, and (C) Benthic species in three time periods: 1955—1974 (green), 1975—1994 (purple), and 1995—2015 (red). Shaded regions represent $\pm 95\%$ confidence envelopes for models fit to each of the periods, with shades (from green to red) reflecting progression of time periods from older to more recent.

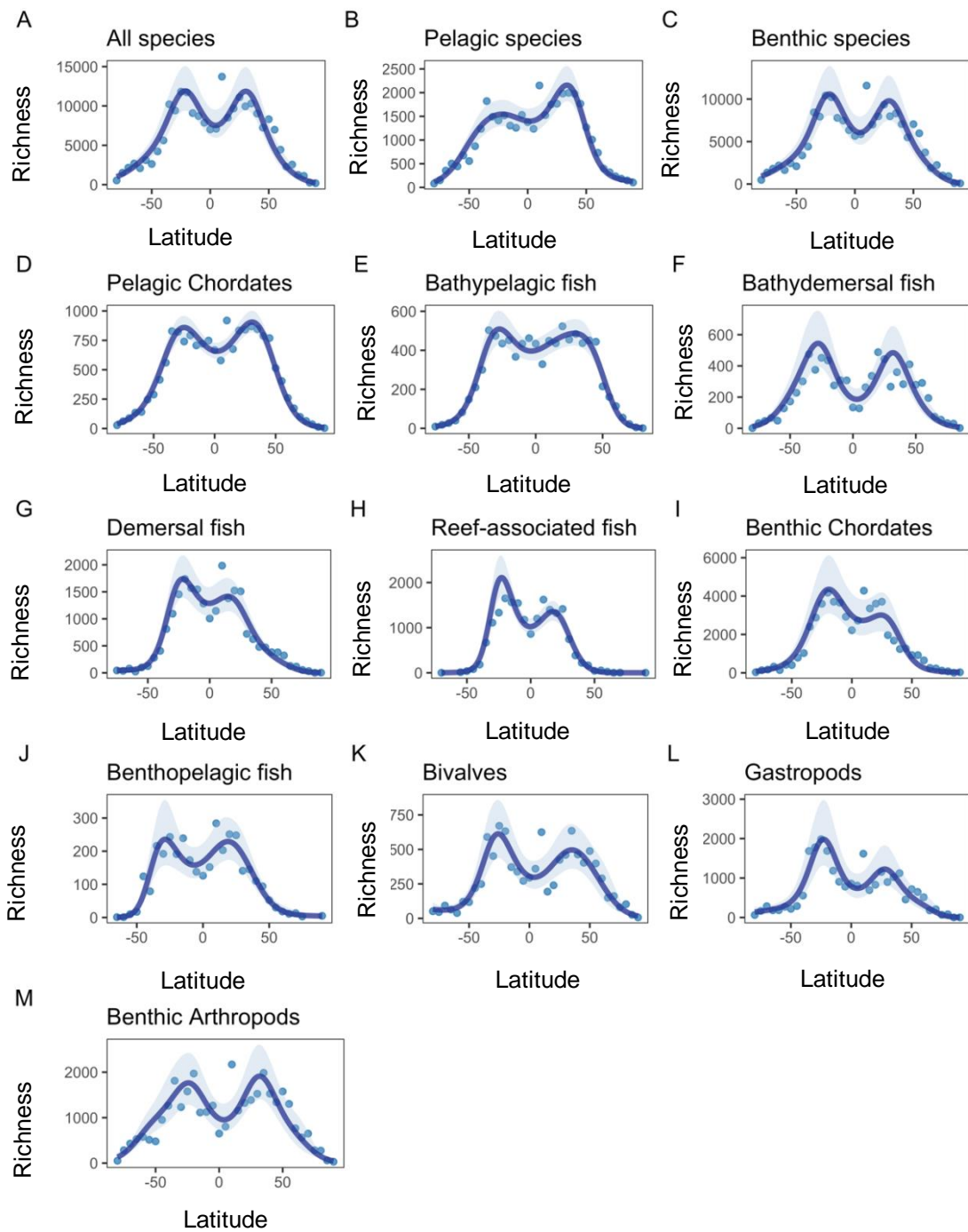


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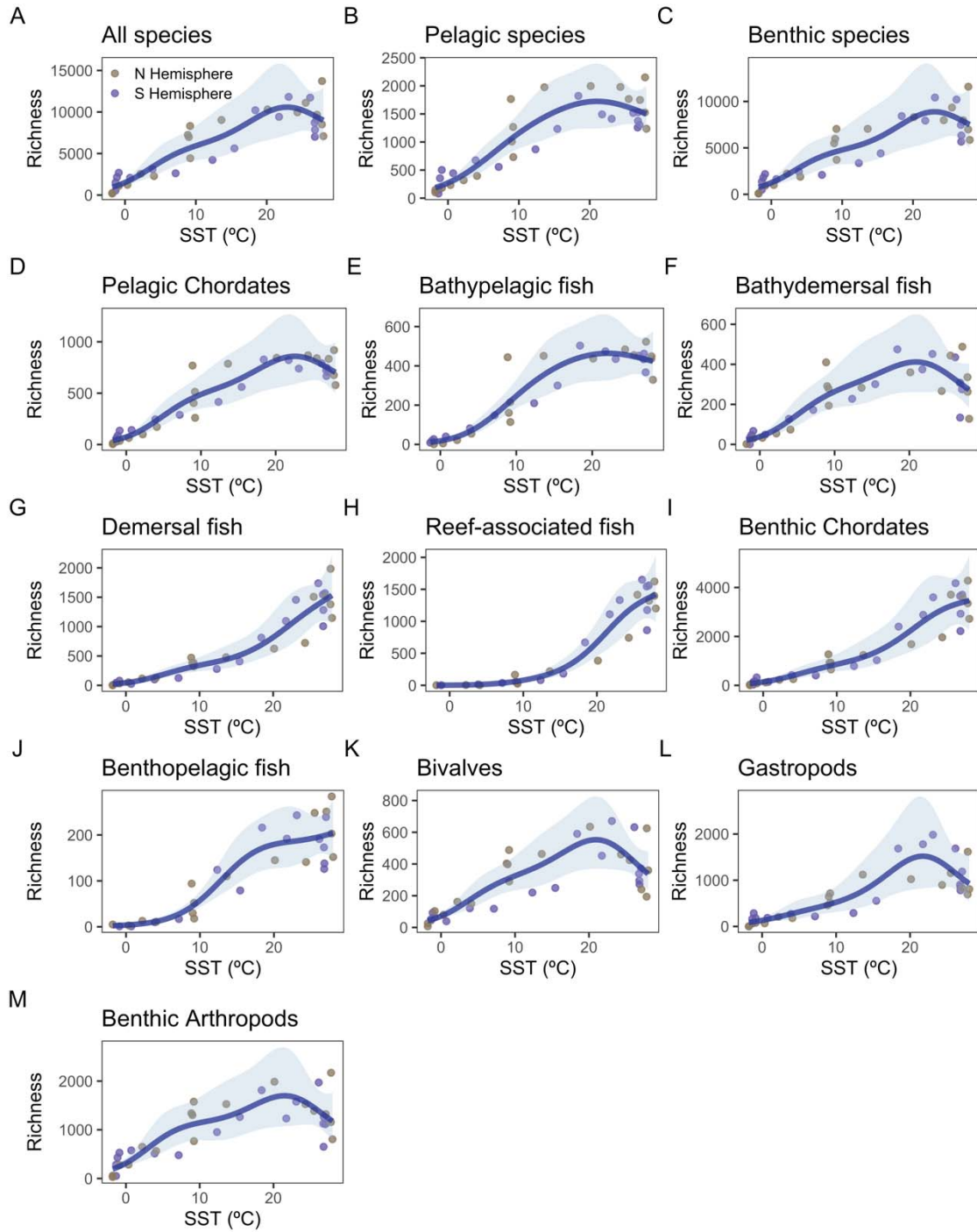


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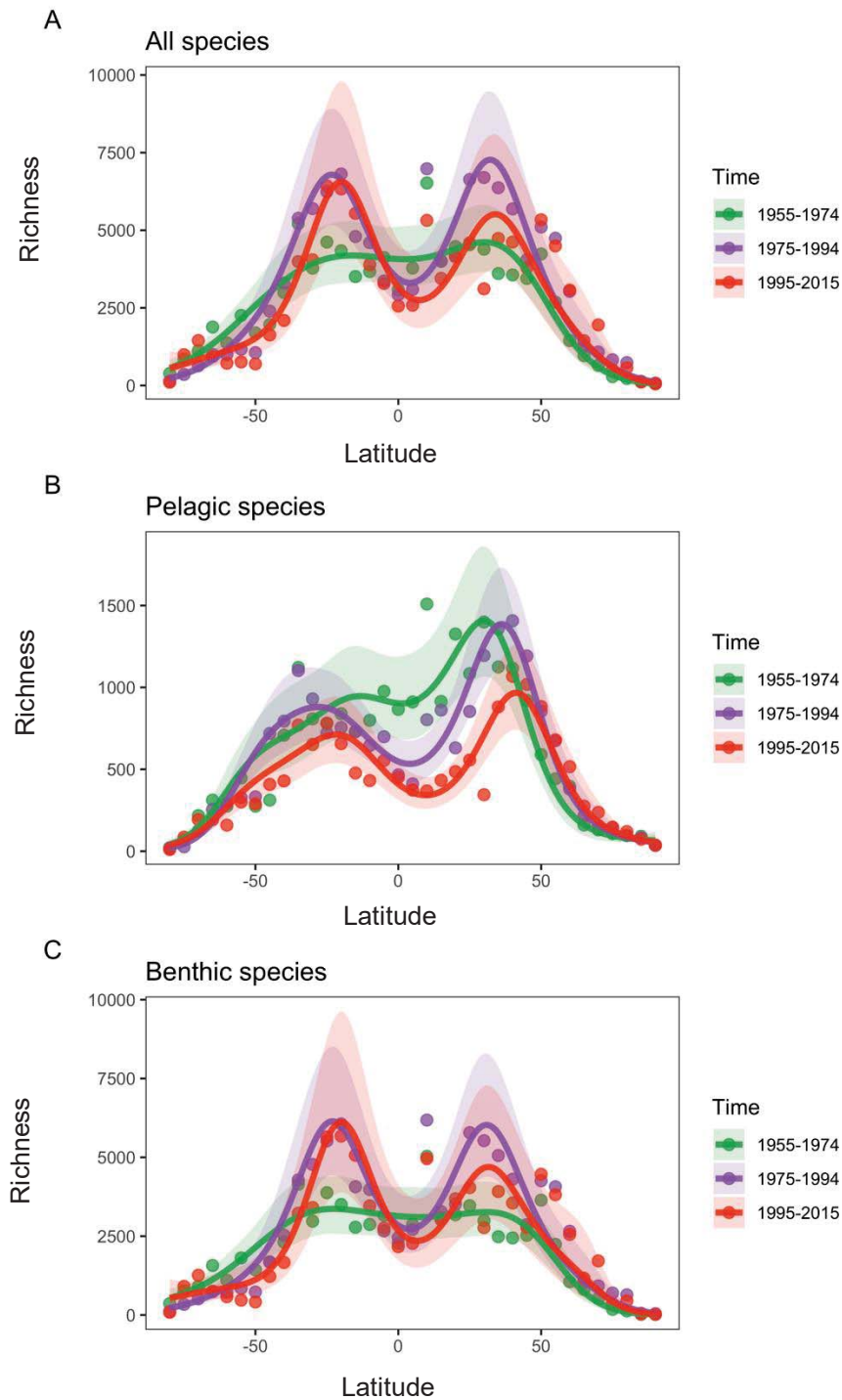


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